

GP-2152
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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : September 25, 2002
BE. Aupperle, et al : IBM Corporation
Filing Date: August 24, 2001 : Dept.T81/Bldg. 503-3
Serial No. 09/938,966 : P.O. Box 12195
For: Apparatus and Method for Determining Compatibility : Research Triangle Park,
of Web Sites with Designated Requirements Based on : North Carolina 27709
Functional Characateristics of the Web Sites : Art Unit 2152

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Applicants submit herewith patents, publications or other information of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper and fee are being deposited with the United States Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on September 25, 2002.

Dianne Lane

NAME OF PERSON MAILING PAPER AND FEE

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RSW920010130US1

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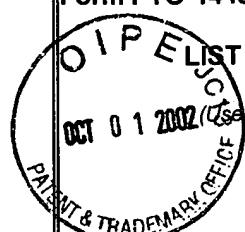
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Page 1 of 1

Form PTO-1449


**LIST OF PRIOR ART CITED
BY APPLICANT**

(Use several sheets if necessary)

ATTORNEY DOCKET NO.
RSW920010130US1SERIAL NO.
09/938,966

APPLICANT B.E. Aupperle, et al

FILING DATE
August 24, 2001GROUP ART UNIT
2152**U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | DOCUMENT NO. | ISSUE DATE | INVENTOR NAME | CLASS/ SUBCLASS | FILING DATE |
|------------------|--------------|------------|---------------|-----------------|-------------|
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | PUBLICATION DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES NO |
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OTHER PRIOR ART (including author, title, date, pertinent page, etc.)

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|---|---|
| A | "Unsafe Surfing: Malicious Mobile Code Infecting Mainstream Web Sites, Reports Websense Inc", PR News Wire, August 15, 2002, San Diego, CA, http://www.websense.com/products/resources/wp/index.cfm |
| B | "Premium Group III: A New Approach to Battling Malicious Mobile Code", June 2002, Websense |
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DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.